

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gruenberg, M.

Serial No.: 09/824,906

Conf. No. 9764

Filed: April 2, 2001

For: **AUTOLOGOUS IMMUNE CELL
THERAPY: CELL COMPOSITIONS,
METHODS AND APPLICATIONS TO
TREATMENT OF HUMAN DISEASE**

Art Unit: 1644

Examiner: Unassigned

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231, on this date.

10/05/01
Date

Kelley Fischer
Kelly Fischer

**INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 C.F.R. § 1.97-1.98**

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed before receipt of a First Office Action on the merits for the above-captioned application, no fee is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Forms PTO-1449 (16 pages) are provided herewith in connection with the above-captioned application. In accordance with 37 C.F.R. §1.98(d), copies of the references listed on the Form PTO-1449 which have been previously provided in connection with applications U.S. Serial No. 08/700,565

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which is relied upon for an earlier filing date in accordance with 35 U.S.C. §120, are not provided herewith.

The documents listed on the Forms PTO-1449 and supplied herewith are in the English language except for item CX. Item CX (Japanese Patent No. JP 2883201) is in the Japanese language and is supplied with a certified English language translation (item DZ), an English language Derwent abstract describing the subject matter (item EM), and a corresponding International Publication WO 90/05541. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following U.S. and International applications, which are commonly owned and/or have one or more inventors in common.

<u>U.S.S.N.(App. no.)</u>	<u>Filing Date</u>	<u>Docket No.</u>
08/700,565	07/25/96	500B
09/127,411	07/31/98	500C
09/127,142	07/31/98	500D
09/127,138	07/31/98	500E
09/127,141	07/31/98	500F

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

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Applicant respectfully requests that the Examiner review the foregoing reference and it be made of record in the file history of the above-captioned application.

* * *

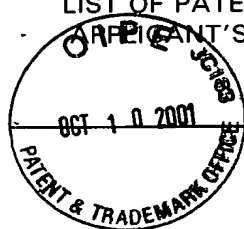
Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By: _____

Stephanie Seidman
Registration No. 33,779

Attorney Docket No. 24731-500G
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	3	8	2	1	0	8	7	6/28/74	Knazek <i>et al.</i>	195	127	5/18/72
	AB	3	8	8	3	3	9	3	5/13/75	Knazek <i>et al.</i>	195	1.8	2/11/74
	AC	3	9	9	7	3	9	6	12/14/76	Delente	195	1.8	7/02/73
	AD	4	0	8	7	3	2	7	5/02/78	Feder <i>et al.</i>	195	1.7	4/12/76
	AE	4	2	0	0	6	8	9	4/29/80	Knazek <i>et al.</i>	435	2	8/29/78
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	AG	4	2	2	0	7	2	5	09/02/80	Knazek <i>et al.</i>	435	285	4/03/78
	AH	4	3	0	1	2	4	9	11/17/81	Markus <i>et al.</i>	435	235	7/23/80
	AI	4	3	9	1	9	1	2	7/5/83	Yoshida <i>et al.</i>	435	241	9/18/80
	AJ	4	5	4	6	0	8	3	10/08/85	Meyers <i>et al.</i>	435	240	4/22/83
	AK	4	6	2	9	6	8	6	12/16/86	Gruenberg	435	1	06/14/82
	AL	4	6	9	0	9	1	5	09/01/87	Rosenberg	514	2	08/08/85
	AM	4	7	2	2	9	0	2	02/02/88	Harm <i>et al.</i>	435	284	11/04/85
	AN	4	8	0	4	6	2	8	02/14/89	Cracauer <i>et al.</i>	435	240.242	08/19/87
	AO	4	8	0	8	1	5	1	02/28/89	Dunn, Jr. <i>et al.</i>	604	6	04/27/87
	AP	4	8	4	9	3	2	9	07/18/89	Leung <i>et al.</i>	435	2	04/20/87
	AQ	4	8	6	1	5	8	9	08/29/89	Ju	424	93	03/23/87
	AR	4	8	9	4	3	4	2	01/16/90	Guinn <i>et al.</i>	435	291	09/22/86
	AS	4	9	3	7	0	7	1	06/26/90	Cioco <i>et al.</i>	424	85.2	12/29/87
	AT	4	9	7	1	7	9	5	11/20/90	Longenecker <i>et al.</i>	424	93	07/21/88
	AU	4	9	7	3	5	5	8	11/27/90	Wilson <i>et al.</i>	435	240.242	04/28/88
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	AW	5	0	0	2	8	7	9	03/26/91	Bowlin <i>et al.</i>	435	71.1	12/05/89

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	AX	5	0	1	5	5	8	5	05/14/91	Robinson	435	240.242	02/23/88
	AY	5	0	4	1	2	8	9	08/20/91	Phillips <i>et al.</i>	424	85.2	11/13/87
	AZ	5	0	5	7	4	2	3	10/15/91	Hiserodt <i>et al.</i>	435	240.23	12/18/87
	BA	5	0	6	1	6	2	0	10/29/91	Tsukamoto <i>et al.</i>	435	7.21	03/30/90
	BB	5	0	6	4	7	6	4	11/12/91	Besnainon <i>et al.</i>	435	285	12/19/89
	BC	5	1	2	3	9	0	1	06/23/92	Carew	604	5	missing
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	BE	5	1	2	6	2	3	8	06/30/92	Gebhard <i>et al.</i>	435	3	02/15/90
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	BJ	5	1	9	2	5	3	7	03/09/93	Osband	424	85.2	08/19/91
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	BM	5	2	4	2	6	8	7	09/07/93	Tykocinski <i>et al.</i>	424	93	04/25/91
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	BQ	5	3	7	4	5	4	9	12/20/94	Leung	435	240.2	01/31/91
	BR	5	3	9	9	3	4	6	03/21/95	Anderson <i>et al.</i>	424	93.21	03/30/94
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	BT	5	4	0	9	8	1	3	04/24/95	Schwartz	435	7.24	09/30/93

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	BU	5	4	1	1	7	4	9	05/02/95	Mayo <i>et al.</i>	424	578	12/23/92
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	BX	5	4	5	9	0	6	9	10/17/95	Palsson <i>et al.</i>	435	289.1	01/06/94
	BY	5	4	6	6	5	7	2	11/14/95	Sasaki <i>et al.</i>	435	2	04/25/94
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	CP	5	7	2	8	5	8	1	03/17/98	Schwartz <i>et al.</i>	435	385	06/07/95
	CQ	5	7	6	3	2	6	1	06/09/98	Gruenberg	435	286.5	12/05/96
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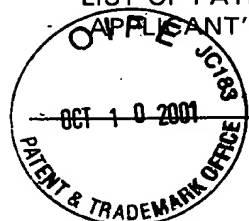
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	CS	5	7	6	6	9	2	0	06/16/98	Babbitt <i>et al.</i>	435	240.1	06/06/95
	CT	5	8	1	1	3	0	1	09/22/98	Cameron	435	372	08/07/96
	CU	5	8	5	8	3	5	8	01/12/99	June <i>et al.</i>	424	130.1	06/03/94
	CV	5	9	9	4	1	2	6	11/30/99	Steinman <i>et al.</i>	435	325	06/17/94

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	CZ	9	0	1	5	8	7	7	12/27/90	PCT				
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	DI	9	5	3	3	8	2	3	12/14/95	PCT				
	DJ	9	5	3	3	8	2	3	12/14/95	PCT				
	DK	9	6	3	4	9	5	6	11/07/96	PCT				

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	DM	9	6	4	0	8	6	0	12/19/96	PCT				
	DN	9	6	4	0	8	7	6	12/19/96	PCT				
	DO	9	7	0	5	2	3	9	2/13/97	PCT				
	DP	9	7	3	1	6	4	7	09/04/97	PCT				
	DQ	9	8	2	5	4	5	7	06/18/98	PCT				

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DR	Alberts, B. <i>et al.</i> , " <u>Molecular Biology of the Cell</u> ", 3rd ed., Garland Publishing, Inc., ppp. 1169 (1994)
DS	Autran <i>et al.</i> , A Th0/Th2-like function of CD4 ⁺ CD7 ⁺ T helper cells from normal donors and HIV-infected patients, <u>J. Immunol.</u> 154: 1408-1417 (1995)
DT	Bartholeyns <i>et al.</i> , Immune control of neoplasia by adoptive transfer of macrophages: Potentiality for antigen presentation and gene transfer, <u>Anticancer Research</u> 14: 2673-2676 (1994)
DU	Benvenuto <i>et al.</i> , Enhanced production of interferon- γ by T lymphocytes cloned from rejected kidney grafts, <u>Transplantation</u> 51: 887-890 (1991)
DV	Benvenuto <i>et al.</i> , Tumor necrosis factor-alpha synthesis by cerebrospinal-fluid-derived T cell clones from patients with multiple sclerosis, <u>Clin. Exp. Immunol.</u> 84: 97-102 (1991)
DW	Bernhard <i>et al.</i> , Generation of immunostimulatory dendritic cells from human CD34 + hematopoietic progenitor cells of the bone marrow and peripheral blood, <u>Cancer Res.</u> 55: 1099-1104 (1995)
DX	Boiardi <i>et al.</i> , Loco-regional immunotherapy with recombinant interleukin-2 and adherent lymphokine-activated killer cells (A-Lak) in recurrent glioblastoma patients, <u>Cancer Immunol. Immunother.</u> 39: 193-197 (1994)
DY	Brod <i>et al.</i> , Restricted T cell expression of IL-2/Ifn- γ mRNA in human inflammatory disease, <u>J. Immunol.</u> 147: 810-815 (1991)

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DZ	Certified English language translation of the Japanese Patent No. 2883201
EA	Cesano <i>et al.</i> , Reversal of acute myelogenous leukemia in humanized SCID mice using a novel adoptive transfer approach, <u>J. Clin. Invest.</u> 94 : 1076-1084 (1994)
EB	Chen <i>et al.</i> , Donor T cells can be induced to grow and survive long term <i>in vivo</i> without previous host immunosuppression, <u>J. Immunol.</u> 152 : 4767-4774 (1994)
EC	Chen <i>et al.</i> , Regulatory T cell clone induced by oral tolerance: Suppression of autoimmune encephalomyelitis, <u>Science</u> 265 : 1237-1240 (1994)
ED	Cherwinski <i>et al.</i> , Two types of mouse helper T cell clone, <u>J. Exp. Med.</u> 166 : 1229-1244 (1987)
EE	Chick <i>et al.</i> , Beta cell culture on synthetic capillaries: An artificial endocrine pancreas, <u>Science</u> 187 : 847-849 (1975)
EF	Clerici <i>et al.</i> , A T _H 1-T _H 2 switch is a critical step in the etiology of HIV infection, <u>Immunology Today</u> 14.3 : 107-111 (1993)
EG	David <i>et al.</i> , Continuous production of carcinoembryonic antigen in hollow giber cell culture units: Brief communication, <u>J. Natl. Cancer Inst.</u> 60.2 : 303-306 (Feb. 1978)
EH	Davis, J.E. <i>et al.</i> , "Mass Transfer Between Capillary Blood and Tissues", <u>Chem. Eng. J.</u> , 7 :213-225 (1974)
EI	de Carli <i>et al.</i> , Cytolytic T cells with Th1- like cytokine profile predominate in retroorbital lymphocytic infiltrates of Graves' ophthalmopathy, <u>J. Clin. Endocrinol. Metabol.</u> 77.5 : 1120-1124 (1993)
EJ	De Jong <i>et al.</i> , Maturation- and differentiation-dependent responsiveness of human CD4 ⁺ T helper subsets, <u>J. Immunol.</u> 149 : 2795-2802 (Oct. 1992)
EK	Del Prete <i>et al.</i> , High potential to tumor necrosis factor x (TNF-x) production of thyroid infiltrating T lymphocytes in Hashimoto's thyroiditis: A peculiar feature of destructive thyroid autoimmunity, <u>Autoimmunity</u> 4 : 267-276 (1989)
EL	Del Prete <i>et al.</i> , Purified Protein derivative of <i>Mycobacterium tuberculosis</i> and excretory-secretory antigen(s) of <i>Toxocara canis</i> expand <i>in vitro</i> human T cells with stable and opposite (type 1 T helper or type 2 T helper) profile of cytokine production, <u>J. Clin. Invest.</u> 88 : 346-350 (July 1991)

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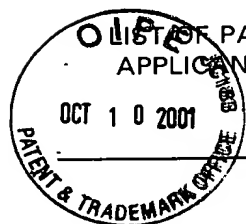
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EM	Derwent #008306271 WPI Acc. No. 1990-193272/199025 (citing, WO Patent Publication WO90/05541, the parent of Japanese Patent Publication No. JP 2883201, published April 19, 1999)
EN	Dillman <i>et al.</i> , Continuous interleukin-2 and tumor-infiltrating lymphocytes as treatment of advanced melanoma, <u>Cancer</u> 68: 1-8 (1991)
EO	Dillman <i>et al.</i> , Continuous interleukin-2 and lymphokine-activated killer cells for advanced cancer: A national biotherapy study group trial, <u>J. Clin. Oncology</u> 9.7: 1233-1240 (1991)
EP	Eastcott <i>et al.</i> , Adoptive transfer of cloned T helper cells ameliorates periodontal disease in nude rats, <u>Oral Microbiol. Immunol.</u> 9: 284-289 (1994)
EQ	Elson <i>et al.</i> , T cell subpopulation phenotypes in filarial infections: CD27 negativity defines a population greatly enriched for T _H 2 cells, <u>Internat. Immunol.</u> 6: 1003-1009 (1993)
ER	Englemann <i>et al.</i> , Activation of human T lymphocyte subsets: Helper and suppressor/cytotoxic T cells recognize and respond to distinct histocompatibility antigens, <u>J. Immunol.</u> 127: 2124-2129 (1981)
ES	Faradji <i>et al.</i> , Large scale isolation of human blood monocytes by continuous flow centrifugation elutriation for adoptive cellular immunotherapy in cancer patients, <u>J. Immunol. Meth.</u> 174: 297-309 (1994)
ET	Fiorentino <i>et al.</i> , Two types of mouse T helper cell, <u>J. Exp. Med.</u> 170: 2081-2095 (1989)
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EV	Foon <i>et al.</i> , Renal cell carcinoma treated with continuous-infusion interleukin-2 with <i>ex vivo</i> -activated killer cells, <u>J. Immunotherapy</u> 11: 184-190 (1992)
EW	Foulis <i>et al.</i> , Insulinitis in type 1 (insulin-dependent) diabetes mellitus in Man-macrophages, lymphocytes, and interferon- γ containing cells, <u>J. Pathol.</u> 165: 97-103 (1991)
EX	Fowell <i>et al.</i> , Evidence that the T cell repertoire of normal rats contains cells with the potential to cause diabetes. Characterization of the CD4 ⁺ T cell subset that inhibits this autoimmune potential, <u>J. Exp. Med.</u> 177: 627-636 (1993)
EY	Fowler <i>et al.</i> , Donor lymphoid cells of Th2 cytokine phenotype reduce lethal graft versus host disease and facilitate fully allogeneic cell transfers in sublethally irradiated mice, <u>Advances in Bone Marrow Purging and Processing: Fourth International Symposium</u> , 533-540 (1994)

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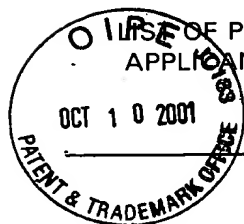
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FB	Gaudernack <i>et al.</i> , Isolation of pure functionally active CD8 ⁺ T cells positive selection with monoclonal antibodies directly conjugated to monosized magnetic microspheres, <u>J. Immun. Meth.</u> 90 : 179-187 (1986)
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FE	Gold <i>et al.</i> , Autolymphocyte therapy, <u>J. Surgical Res.</u> 59 : 270-286 (1995)
FF	Grabbe <i>et al.</i> , Dendritic cells as initiators of tumor immune responses: A Possible strategy for tumor immunotherapy, <u>Immunology Today</u> 16 : 117-121 (1995)
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FH	Grau <i>et al.</i> , Implications of cytokines in immunopathology: Experimental and clinical data, <u>Eur. Cytokine Net.</u> 1 : 203-210 (1990)
FI	Grimm <i>et al.</i> , Lymphokine-activated killer cell phenomenon, <u>J. Exp. Med.</u> 155 : 1823-1841 (1982)
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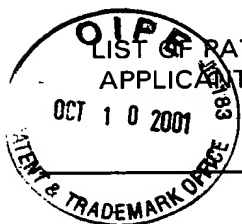
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FU	Jensen <i>et al.</i> , Production of anchorage-dependent cells-problems and their possible solutions, <u>Biotechnol. Bioeng.</u> 23: 2703-2716 (1981)
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GJ	Lum <i>et al.</i> , In vitro regulation of immunoglobulin synthesis by T-cell subpopulations defined by a new human T-cell antigen, <u>Cell. Immunol.</u> 72: 122-129 (1982)
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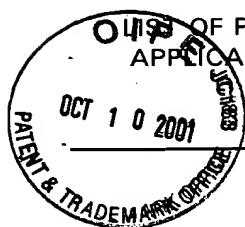
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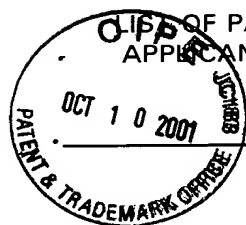
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IO	Tamura <i>et al.</i> , T cell activation through TCR/CD3 complex IL-2 production of T cell clones stimulated with anti-CD3 without cross-linkage, <u>J. Immunol.</u> 148: 2370-2377 (1992)
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IQ	Tax <i>et al.</i> , Polymorphism in mitogenic effect of IgG1 monoclonal antibodies against T3 antigen on human T cells, <u>Nature</u> 304: 445-447 (1983)
IR	Thompson <i>et al.</i> , Prolonged continuous intravenous infusion interleukin-2 and lymphokine-activated killer-cell therapy for metastatic renal cell carcinoma, <u>J. Clin. Oncol.</u> 10: 960-968 (1992)
IS	Thygesen <i>et al.</i> , Immunity to experimental <i>Salmonella typhimurium</i> infections in rats, <u>APMIS</u> 102: 489-494 (1994)
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JA	Van Lunzen <i>et al.</i> , Investigations on autologous T-cells for adoptive immunotherapy of AIDS, <u>Cell Activation and Apoptosis in HIV Infection</u> , 6: 57-70 (1995)

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JB	Vandenberghe <i>et al.</i> , Immobilized anti-CD5 together with prolonged activation of protein kinase C induce interleukin 2-dependent T cell growth: Evidence for signal transduction through CD5, <u>Eur. J. Immunol.</u> 21 : 251-259 (1991)
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JE	Yamamura <i>et al.</i> , Defining protective responses to pathogens: Cytokine profiles in leprosy lesions, <u>Science</u> 254 : 277-279 (1991)
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